

APP No.: WST00000161

RELIANCE SMART STEP ENROLMENT FORM

Use this form if you wish to transfer your investment systematically through Reliance SMART STEP. Please read the instructions before filling up the form

1. DISTRIBUTOR / BROKER INFORMATION

Name & Broker Code / ARN	Sub Broker / Sub Agent Code
ARN-64292	

FOR OFFICE USE ONLY

Date and Time of Receipt	Bank / Register Serial No.

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

2. EXISTING UNIT HOLDER INFORMATION Refer Instruction 6

FOLIO NO. _____

New Investors are required to fill up Common Application Form for investing in Transferor (Liquid/Debt) Scheme along with Enrolment form of Reliance SMART STEP.

3. PARTICULARS OF APPLICANT

Name of First / Sole applicant Mr. Ms. M/s.

Name of Guardian Mr. Ms. M/s. (in case of First / Sole Applicant is a Minor) / Contact Person - Designation (in case of non-individual Investors)

Name of Second Applicant [Please tick (✓)] Mr. Ms.

Name of Third Applicant [Please tick (✓)] Mr. Ms.

4. TRANSFEROR / TRANSFEREE SCHEME

Name of 'Transferor' Scheme/Plan/Option Refer Instruction 14 & 17	Scheme	Plan	Option
		<input type="checkbox"/> Growth <input type="checkbox"/> Dividend	<input type="checkbox"/> Growth Option <input type="checkbox"/> Bonus Option <input type="checkbox"/> Reinvestment Payout
Daily Dividend Option Not available	Scheme	Plan	Option
Name of 'Transferee' Scheme/Plan/Option Refer Instruction 15 & 18		<input type="checkbox"/> Growth <input type="checkbox"/> Dividend	<input type="checkbox"/> Growth Option <input type="checkbox"/> Bonus Option <input type="checkbox"/> Reinvestment Payout

5 FREQUENCY & TENURE (Refer Instruction No. 13)

Frequency : Monthly (10th of every month)
Enrolment Period :
Refer Instruction 23

From MMYY
No. of Years (Minimum 1 year and in multiples of 1 years thereafter)

6. PLAN (Refer Instruction No. 11)

Plan	<input type="checkbox"/> Plan A	<input type="checkbox"/> Plan B	<input type="checkbox"/> Plan C	<input type="checkbox"/> Plan D	<input type="checkbox"/> Plan E (Refer Instruction No. 19)
Low	Rs.500	Rs.1500	Rs.8000	Rs.15000	Rs. <u>X</u> (Minimum Rs. 30,000 & in multiples of Rs.500/- thereafter)
Medium	Rs.1000	Rs.3000	Rs.12000	Rs.22500	Rs. 1.5X
High	Rs.1500	Rs.4500	Rs.16000	Rs.30000	Rs. 2X

I/We would like to opt for Reliance SMART STEP in the schemes mentioned on the application form subject to terms of the Statement of Additional Information (SAI) and Scheme Information Document (SID) and subsequent amendments thereto. I/We have read, understood (before filling application form) and is/are bound to the details of the SAI and SID of the Transferor (Liquid/Debt) Scheme and Transferee (Equity) Scheme. I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I understand that the Reliance Capital Asset Management Limited may, at its absolute discretion, discontinue any of the services completely or partially without any prior notice to me. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I hereby declare that the above information is given by the undersigned and particulars given by me/us are correct and complete. Applicable for NRI Investors: I confirm that I am resident of India. I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External / Ordinary Account/FCNR Account. I/We undertake that all additional purchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my/our NRE/FCNR Account.

First/Sole Unit holder / Guardian

Second Unit holder

Third Unit holder

Acknowledgement Receipt of Reliance SMART STEP PLAN Enrolment Form (To be filled in by the Unit holder)

FOLIO NO. _____

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Received from _____ Reliance SMART STEP PLAN application

Amount of Transfer as per Plan A Plan B Plan C Plan D Plan E

From Scheme / Plan / Option _____

to Scheme / Plan / Option _____

Stamp of receiving branch

& Signature

INSTRUCTIONS

1. Investors are advised to read the Statement of Additional Information (SAI), Scheme Information Document (SID) and Key Information Memorandum (KIM) of the respective Transferor (Liquid/Debt) & Transferee (Equity) Scheme(s) carefully before investing.
2. Reliance SMART STEP can be opted by all the investors whether in Retail or Institutional Plan. Investors should refer section "Who can invest" of respective SID.
3. The investor (existing or new) is required to select any one of the Transferor (Liquid/Debt) Scheme, any one of the Transferee (Equity) Scheme (Refer Point 14 and 15 mentioned below) and one plan out of the five plans as mentioned in the application form.
4. The investor (existing or new) shall invest initially in Transferor (Liquid/Debt) Scheme (whether in Lumpsum / Systematic Investment Plan (SIP) mode, if available) and shall also be subject to terms of Common Application Form.
5. New Investors are required fill up Common Application Form for investing in Transferor (Liquid/Debt) Scheme along with Enrolment form of Reliance SMART STEP.
6. Existing investors in Transferor (Liquid/Debt) Scheme are required to provide their Folio No. and submit only Application form for Reliance SMART STEP.
7. Investors are required to submit separate application form for different schemes.
8. Investors should note that investors' details and mode of holding (single, joint, anyone or survivor) in the Transferee (Equity) Scheme will be as per the existing folio number of the Transferor (Liquid/Debt) Scheme. Units will be allotted under the same folio number.
9. For Direct Investments, please mention "Direct" in the column "Name & Broker Code / ARN".
10. Investors should clearly indicate from and to which scheme / option he / she wish to transfer their investment. Please note that if no Transferor (Liquid/Debt) Scheme is mentioned in the application form the application shall be rejected.
11. Investors should clearly indicate any one plan out of the five plans mentioned in the application form. If no Plan is mentioned / indicated in the Application Form, Plan A shall be considered as default Plan.
12. Application shall be submitted atleast fifteen calendar days before the commencement of first execution date of Reliance SMART STEP.
13. The transfer date from Transferor (Liquid/Debt) Scheme to Transferee (Equity) Scheme shall be 10th of every month. Incase 10th is a non transaction day, the amount shall be transferred in the Transferee (Equity) Scheme on the next working day.
14. Eligible Transferor(Liquid/Debt) Schemes for Reliance SMART STEP are Reliance Floating Rate Fund, Reliance Liquidity Fund, Reliance Liquid Fund Treasury Plan, Reliance Liquid Fund Cash Plan, Reliance Medium Term Fund, Reliance Short Term Fund, Reliance Gilt Securities Fund, Reliance Monthly Income Plan, Reliance Money Manager Fund, Reliance Income Fund, Reliance Regular Savings Fund Debt Option.
15. Eligible Transferee(Equity) Schemes for Reliance SMART STEP are Reliance Growth Fund, Reliance Vision Fund, Reliance Equity Opportunities Fund, Reliance Equity Fund, Reliance Equity Advantage Fund, Reliance Quant Plus Fund, Reliance Regular Savings Fund Equity Option, Reliance Regular Savings Fund Balanced Option, Reliance Natural Resources Fund, Reliance Banking Fund, Reliance Pharma Fund, Reliance Media & Entertainment Fund, Reliance Diversified Power Sector Fund, Reliance Infrastructure Fund, Reliance Tax Saver (ELSS) Fund (Investment in the scheme is subject to the lock in period of three years), Reliance Long Term Equity Fund.
16. Reliance Capital Asset Management Limited (RCAM) reserves the right to introduce or withdraw any of the mentioned Transferor (Liquid/Debt)/ Transferee (Equity) Schemes.
17. Reliance SMART STEP facility shall be available for all sub options except for Daily Dividend Option (wherever applicable) of the mentioned Transferor (Liquid/Debt) Scheme.
18. Investors have to clearly indicate the Transferee (Equity) Scheme in the application form. Incase the same is not mentioned, Reliance Growth Fund - Retail Plan - Growth Option shall be considered as default scheme and in case no Plan is selected in Transferee (Equity) Scheme, Retail Plan (if applicable), Growth Option shall be considered as default plan / option.
19. The lowest investment amount (X) in "Plan E" will be Rs.30,000 & in multiples of Rs.500 thereafter. Reliance Tax Saver (ELSS) Fund will be not be considered as eligible Transferee Scheme in "Plan E". Incase of any ambiguity or incorrectness in minimum application amount of "Plan E, the application will be liable to be rejected.
20. New Investors are required to maintain below mentioned minimum balance in a particular option of the transferor scheme at the time of enrollment of this facility (Please check below mentioned balance in your folio before filling up this form).
 - a. Non-Liquid scheme: Rs. 10,000/- or the minimum amount as stated in the SID of the respective transferor scheme, whichever is higher.
 - b. Liquid scheme (Except Reliance Liquid Fund Treasury Plan - Retail Plan): Rs. 25,000/- or the minimum amount as stated in the SID of the respective transferor scheme, whichever is higher.
 - c. No minimum balance shall be required for Reliance Liquid Fund Treasury Plan - Retail Plan to start investing via Reliance SMART STEP.
21. Existing Investors are required to maintain below mentioned minimum balance in a particular option of the transferor scheme.
 - a. Non-Liquid scheme: Rs. 10,000/-
 - b. Liquid scheme (Except Reliance Liquid Fund Treasury Plan - Retail Plan) : Rs. 25,000/
 - c. No minimum balance shall be required for Reliance Liquid Fund Treasury Plan - Retail Plan to start investing via Reliance SMART STEP.
22. Incase of insufficient balance in the account / folio, at the time of enrolment, the application for Reliance SMART STEP shall be rejected.
23. Investors shall ensure to maintain minimum balance in accordance with Plans selected in the Transferor (Liquid/Debt) Scheme on the transfer date / execution date. In case of insufficient balance / unclear units on the date of transfer in the folio the transaction will be rejected. However, Reliance SMART STEP will be continued.
24. Investors are required to opt for Reliance SMART STEP for minimum tenure is 1 year & in multiples of 1 year thereafter. There is no maximum tenure of investment under Reliance SMART STEP.
25. Load shall be applicable as mentioned in respective SID of Transferor (Liquid/Debt) Scheme and Transferee (Equity) Scheme
26. Investors may please note that Reliance SMART STEP is a new offering under special product category of the respective Transferor (Liquid/Debt) Scheme and is different from the existing STP being offered by RMF. RCAM reserves the right to change the load structure of Transferor (Liquid/Debt) Schemes and / or Transferee (Equity) Schemes. However, any imposition or enhancement in the load shall be applicable on prospective investments only.
27. The first Account Statement for both Transferor (Liquid/Debt) Scheme and Transferee (Equity) Scheme will be despatched to each unitholder stating the number of Units held etc. within a maximum of ten Business Days from the date of allotment of units. For further transactions, account statements will be despatched to the unitholders within 10 Business Days of the end of calendar quarter.
28. All valid transfer requisitions would be treated as switch-out / redemption for the Transferor (Liquid/Debt) Scheme and switch-in/ subscription transactions for the Transferee (Equity) scheme and would be processed at the applicable NAV (subject to applicable exit load) of the respective schemes. The difference between the NAVs of the two Schemes/ Plans will be reflected in the number of units allotted. All valid transactions would be processed as per the applicable cut off timing on the business days as mentioned in the respective SID and are subject to SEBI regulations.
29. This facility will not be available for units which are under any Lien/Pledged or any lock-in period.
30. The investors may approach/ consult their tax consultants in regard to the treatment of the transfer of units from the tax point of view.
31. Investors can discontinue Reliance SMART STEP facility by providing a written notice to the Designated Investor Service Centers atleast 15 calendar days prior to the due date of the next transfer date.
32. Reliance SMART STEP shall be automatically terminated if all the units are pledged from the Transferor (Liquid/Debt) Scheme and upon receipt of intimation of death of the unit holder.
33. Investor will not hold Reliance Mutual Fund/Reliance Capital Asset Management Limited, its registrars and other service providers responsible if the transaction is delayed or not effected or the investors bank account is debited in advance or after the STP date due to various clearing cycles of Autodebit/ECS/Local Holidays.
34. Reliance Mutual Fund/Reliance Capital Asset Management Limited, its registrars and other service providers shall not be responsible nor liable for any damages/compensation for any loss, damage etc. incurred by the investor. The investor assumes the entire risk of using this facility and takes full responsibility.
35. Reliance Mutual Fund/Reliance Capital Asset Management Limited reserves the right to reject any application without assigning any reason thereof.
36. Investor will not hold Reliance Mutual Fund / Reliance Capital Asset Management Limited, its registrars and other service providers responsible if the transaction is delayed or not effected due to any reason.
37. Reliance Mutual Fund / Reliance Capital Asset Management Limited, its registrars and other service providers shall not be responsible nor liable for any damages/compensation for any loss, damage etc. incurred by the investor. The investor assumes the entire risk of using this facility and takes full responsibility. Reliance Mutual Fund / Reliance Capital Asset Management Limited reserves the right to reject any application without assigning any reason thereof.

Ver1.2/21/08/10

ACKNOWLEDGMENT SLIP (To be filled in by the Applicant)

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