

**Client Registration Form: Individual Client**

To  
Hedge Commodities Ltd.  
Membership Registration Number :13020  
1205, Dalamal Tower, Nariman Point Mumbai – 400 021

Photograph  Sign Across the Photograph
--

Dear Sir,

We request you to register us as your client. The Details of Registration is as under:

- (01) Full Name : \_\_\_\_\_  
 (02) Date of Birth /Age : \_\_\_\_\_ DD/MM/YY  
 (03) Gender : Male / Female  
 (04) Residential Address:  
 • City : \_\_\_\_\_  
 • Pin code : \_\_\_\_\_  
 • State : \_\_\_\_\_  
 • Country : \_\_\_\_\_  
 • Telephone Number : \_\_\_\_\_
- (05) Name of Introducer : \_\_\_\_\_  
 (06) Address of Introducer : \_\_\_\_\_  
 (07) Details of Bank Account:  
 • Name & Address of Bank : \_\_\_\_\_  
 • Account Number & Account Type : \_\_\_\_\_  
 (08) Depository Account Details(For Commodity WH Receipt Holding & Transfer):  
 • DP Account No. DP ID \_\_\_\_\_ Client ID \_\_\_\_\_  
 (09) Sales Tax Registration Details:  
 • Local Sales Tax No: \_\_\_\_\_ dated \_\_\_\_\_  
 • Central Sales Tax: Registration No: \_\_\_\_\_ dated \_\_\_\_\_

The information furnished above is true to the best of my knowledge and belief.  
I undertake to inform changes in any of the above points in writing immediately to the Exchange.

I enter into agreement to abide by all the terms and conditions of the Exchange.

\_\_\_\_\_  
Authorized Signature

Place: Date:

For office Purpose:

Client Code:

Verified by: \_\_\_\_\_ Authorized by : \_\_\_\_\_  
 (Name) (Name)

Note : Each client will have to use separate form. In case of Joint names / family members, each client will have to use separate form for each person separately.

Documents to be submitted along with Client Registration Form :

Proof of identity, Address Proof, Age Proof, Proof of Bank Account Number

**Client Registration Form: Non - Individual Client**

To  
Hedge Commodities Ltd.  
Membership Registration Number: 13020  
1205, Dalamal Tower, Nariman Point Mumbai – 400 021

Photograph  
of one of the  
Authorised  
Signatories  
Sign across  
the  
Photograph

Dear Sir

We request you to register us as your client. The Details of Registration is as under :

- (01) Name of the Company / Firm : \_\_\_\_\_
- (02) Client Profile : \_\_\_\_\_  
(Producer / Trader / Consumer)
- (03) Details of Registered Office:
- Correspondence Address : \_\_\_\_\_ • City : \_\_\_\_\_
  - \_\_\_\_\_ • State : \_\_\_\_\_
  - \_\_\_\_\_ Pin code : \_\_\_\_\_ • Telephone Number : \_\_\_\_\_
  - \_\_\_\_\_ • Mobile Number : \_\_\_\_\_
  - \_\_\_\_\_
  - Fax Number (including STD code) : \_\_\_\_\_
  - Email ID : \_\_\_\_\_
- (04) Date of Incorporation : \_\_\_\_\_ DD/MM/YY
- (05) Details of Promoters / partners : \_\_\_\_\_
- (06) Networth as on (DD /MM/YY) : \_\_\_\_\_
- (07) Permanent Account Number (PAN) : \_\_\_\_\_
- (08) Details of Bank Account:
- Name & Address of Bank : \_\_\_\_\_
  - Account Number of the Bank : \_\_\_\_\_  
& Account Type
- (09) Sales Tax Registration Details:
- Local Sales Tax : \_\_\_\_\_ Dated \_\_\_\_\_
  - Central Sales Tax No: \_\_\_\_\_ Dated \_\_\_\_\_
- (10) Depository Account Details (For Commodity WH Receipt Holding & Transfer):
- DP ID : \_\_\_\_\_ Client ID : \_\_\_\_\_

The information furnished above is true to the best of my knowledge and belief.  
I undertake to inform changes in any of the above points in writing immediately to the Exchange.  
I shall enter into agreement to abide by all the terms and conditions of the Exchange.

\_\_\_\_\_  
Authorized Signature Authorized Signature  
Place:

Date:

**For office Purpose**

Client Code:

Verified by: \_\_\_\_\_ Authorized by : \_\_\_\_\_  
(Name) (Name)

Documents to be submitted along with Client Registration Form : (I) Address Proof, (II) Proof of Bank Account Number, (III) Copy of Memorandum & Articles of Association (for corporates), (IV) Copy of Partnership Deed (for Partnership Firm) (V) Board Resolution authorizing the company officials in to execute all the deeds/ operations with the Exchange on behalf of the company, (VI) Letter of Partnership firm (letterhead) authorizing partners to execute all the deeds / operations with the Exchange on behalf of firm.

### Member - Client Agreement

This agreement is made at .....this .....day of..... by and  
 Between Hedge Commodities Ltd, a company or any other body duly formed and registered under the  
 Relevant Act, hereinafter called MEMBER OF THE EXCHANGE, having its registered office  
 address at 1205 Dalamal Tower, Nariman Point Mumbai – 400 021and....., a  
 company / firm / individual or any other body duly formed and registered under the Relevant Act,  
 hereinafter called CLIENT, having its registered office address at

.....  
 .....

#### Witness:

Whereas the member is registered as MEMBER OF THE NATIONAL SPOT EXCHANGE LIMITED (hereinafter called (NSEL).

Whereas the CLIENT is desirous of trading in those contracts admitted for dealing on NSEL as defined in the Bye - Laws, Rules and Business Rules of NSEL.

Whereas the CLIENT has satisfied himself of the capability of the MEMBER OF THE EXCHANGE to deal in those contracts admitted for dealing on the NSEL and wishes to execute his orders through him and the CLIENT shall continue to satisfy him of such capability of the MEMBER OF THE EXCHANGE before executing any orders through him.

Whereas the MEMBER OF THE EXCHANGE has satisfied and shall continuously satisfy himself about the genuineness and financial soundness of the CLIENT and trading objectives relevant to the services to be provided.

Whereas the MEMBER OF THE EXCHANGE has taken steps and shall take steps to make the CLIENT aware of the precise nature of the MEMBER liability for business to be conducted, including any limitations on that liability and the capacity in which it acts.

In consideration of handling transactions carried out on the NSEL, THE CLIENT understands the following risks disclosed by the Member and states as follows:

- A.** I / We understand that the spot trading involves daily settlement. Every day the net outstanding position (whether purchase or sale) at the end of the day shall result into compulsory delivery. I/We shall ensure that the commodities are as per the contract specifications of the Exchange and within tolerance limits set out in the contract specification and such commodities are delivered at the designated warehouse of the Exchange before the scheduled pay-in time fixed by the Exchange. I/We further understand that in case of failure on my part to deliver the specified commodity at the specified delivery center within the specified time set by the Exchange, such commodities shall be procured by the Exchange through auction procedure at my cost and risk. I / we shall be liable to make good of such losses that may be recovered from the members by the Exchange on my account.  
 I / We understand that in case of my liability of funds pay-in position, I shall ensure transfer of funds to the members account well before the schedule pay-in time to enable the member to meet his funds pay-in obligation on my account. I/We further understand that in case of failure on my/our part to pay/transfer funds before the scheduled pay-in date, the commodities purchased by the member on my account may be auctioned by the Exchange/sold by the Member at my cost and risk. I / We shall be liable to make good of such losses that may be recovered from/suffered by the members on my account.  
 I / we hereby authorize the member to execute all my written/verbal order for purchase and sale of commodities and comply with the Sales Tax/ VAT/ CST

**C.**

⊗

related liabilities on my behalf. I / we irrevocably authorize the member to recover all the dues from my account against sales tax and other liabilities that may arise in the course of executing my orders on the Exchange platform. I further undertake that the member shall be authorized to take all actions for compliance with the VAT regulations.

- D. I / We understand that my purchase and sales positions shall be marked to market at and of the day of transaction based on the closing price of commodities. I / We shall be required to deposit the amount of loss (notional) resulting from such closing price (if any). This margin will have to be paid within a stipulated time frame, generally before commencement of trading next day.
- E. If I / we fail to deposit the additional margin by the deadline or if an outstanding debt occurs in my account, the Member of the Exchange may liquidate a part of or the whole position or substitute commodities. In this case, I / we shall be liable for any losses incurred due to such close outs.
- F. My order for purchase or sale of any commodity may not be executed when there are insufficient bids or offers or suspension of trading due to price limit or circuit breakers etc.
- G. In order to maintain market stability, the Exchange may change the margin rate, increases in the cash margin rate or others. These new measures may be applied to the existing outstanding position, which are yet to be settled. In such conditions, I / We shall be required to put up additional margins against my positions.
- H. I / We shall ask my Member of the Exchange to provide the full details of the spot contracts before I / WE plan to trade i.e. the contract specifications and the associated obligations.
- H. Before trade, I / We shall obtain a clear explanation of all commission, fees and other charges for which I / We will be liable.
- I. The Exchange offers electronic trading facilities, which are computer-based systems for order-routing, execution, matching, registration or clearing of trades. As with all facilities and systems, they are vulnerable to temporary disruption or failure. The execution of my orders shall be subject to limits on liability imposed by the system provider, the market, the Clearing House and/or Member of the Exchange firms.
- J.

In view of the above, I / WE agree that

- 1) I / WE am aware of the risks stated above and understand the trading & risks involved in the trading through the Electronic spot Exchange and am fully responsible for my dealings in this Exchange platform.
- 2) I / WE shall be bound by the Bye-Laws, Rules, Business Rules, and Customs of the NATIONAL SPOT EXCHANGE LIMITED and the Clearing House of the Exchange.
- 3) I / WE shall deposit monies, Warehouse Receipts or other property, which may be required to open and carry out the transactions in my account with you as my agent at the capacity of the Member of NSEL and I / WE being your client.
- 4) I / WE shall not, acting alone or in concert with others, directly or indirectly, hold and control or create excess position than what is permitted from time to time by the Exchange or any other authority.
- 5) All monies, Warehouse Receipts or other property, which you may hold on my account, shall be held subject to a general lien for the discharge of my obligations to you under this agreement.

- 6) I / WE hereby authorize you at your discretion, should you deem it necessary for your protection to buy, sell or close out any part or all of the contracts held in my account with you. I / WE will reimburse any or all such incidental expenses incurred by you.

Now, THEREFORE, in consideration of the mutual understanding as set forth in this agreement, the parties thereto have agreed to the terms and conditions, as follows:

1. The agreement entered into between the Member and the CLIENT shall stand terminated by mutual consent of the parties by giving at least one-month notice to each other. Such termination shall not have any effect on the transactions executed before the date of notice of termination and the parties shall enjoy same rights and shall have same obligations in respect of such transactions.
2. In the event of the death or insolvency of the CLIENT or his otherwise becoming incapable of receiving and paying for or delivering or transferring Commodities which the CLIENT has ordered to be bought or sold, the Member may with the approval of the Exchange, close out the transaction of the CLIENT and the CLIENT or his legal representative shall be liable for any losses, costs and be entitled to any surplus which may result there from.  
All trades, transactions and contracts are subject to the Rules, Bye Laws and Business Rules of the Exchange and shall be deemed to be and shall take effect as wholly made, entered into and to be performed in the city of MUMBAI for the purpose of giving effect to the provisions of the Rules, Bye - Laws and Business Rules of the Exchange.
- 3.

In WITNESS THEREOF, the parties to agreement have caused these presents to be executed as of the day and year first above written.

SIGNED for and on behalf of  
 THE Member:  
 .....  
 By  
 :.....  
 .....  
 Signature  
 :..... Title  
 :.....  
 Witness:.....  
 ...

SIGNED for and on behalf of  
 THE  
 Client:.....  
 By  
 :.....  
 .....  
 Signature  
 :..... Title  
 :.....  
 Witness:.....  
 ...

# VOLUNTARY

## Form B

Form for Subscription for contract notes / A/C information on E-mail and SMS

Client Name

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Client Code

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

BO ID

1	2	0	5	7	3	0	0								
---	---	---	---	---	---	---	---	--	--	--	--	--	--	--	--

### E-mail Registration

I/We confirm having opted the statement of transactions/holdings/digitally signed contract notes/ confirmations/account statements/bills or other reports, statement(s) pertaining to the above mentioned BO/Trading account in electronic mode in lieu of physical copy(ies). I/We further confirm that I/We wish to receive or continue to receive the electronic statement of transactions/ holdings/digitally signed contract notes/confirmations/account statements/bills or other reports, Statement(s) related circulars, notices, amendments and such other correspondence, documents, records by whatever name called (hereafter referred to as "Statement(s)" issued from time to time in electronic form, subject to the terms and conditions prescribed by Exchange, the respective Commodities/Depository and Hedge Commodities Ltd. to the following E-mail address, which email address has been generated by me / us

Email Id

(in block letters only)

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

I /We further hereby agree that Hedge Commodities Ltd shall fulfill the legal obligation, if the above statement(s) are sent electronically to the above mentioned email account. I/We further agree that you shall not be responsible for the non receipt of the electronic statement of transactions/holdings/digitally signed contract notes / confirmations / account statements/ bills or other reports, Statement(s) related circulars, notices, amendments and such other correspondence, due to any change in the address not intimated to you in writing. I/We agree that any change in email id / or any other instruction shall be communicated by me/us through a physical letter to the trading member/depository participant.

### SMS Registration

I/We request you to send customized Alert message through SMS with respect to transaction /confirmations/information relating to my Account to my/our following mobile number.

Further I understand that these services will be provided free initially. In future it may be discontinued as a FREE service and charges for this service if levied, will be formally communicated well in advance for re-subscription.

I/We agree that any change in Telephone/Mobile number shall be communicated by me/us through a physical letter to the trading member/depository participant.

STD Code

Tel. Res. No: 

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Mobile No:

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

(Mobile No. on which messages are to be sent)

The mobile no is registered  
in the name of

 .....  
signature of client

(This form may be submitted at the nearest branch or sub broker's office of Hedge Commodities Ltd. or Hedge Commodities Ltd., Hedge House, Mamangalam, Palarivattom, Kochi - 682 025. Tel : 0484 30 40 400

## VOLUNTARY

### RUNNING ACCOUNT AUTHORISATION

To,  
**Hedge Commodities Ltd.**  
1205, Dalamal Tower  
Narman Point, Mumbai - 400 021

Date:.....

I/We are dealing through you as a client in Commodities segment and in order to facilities ease of operations and up front requirement of margin for trade. I/We authorize you as under

1. I/We request you to maintain running balance in my account & retain the credit balance in any of my/our account and to use the unused funds towards my/our margin/pay-in /other future obligation(s) of any or all the Exchange (s)/Clearing corporation unless I/We instruct you otherwise.
2. In case I/We have an outstanding obligation on the settlement date, you may retain the requisite funds towards such obligations and may also retain the funds expected to be required to meet margin obligations for following trading days, calculated in the manner specified by the exchanges.
3. I/We request you to settle my fund once in every calendar quarter as allowed by FMC/ Stock Exchange time to time except the funds given towards collaterals/margin is form of bank guarantee (BG)/Fixed Deposit receipts (FDR)/other collateral received from me.
4. I/We confirm you that will bring to your notice any dispute arising from the statement of account or settlement so made in writing within 7 working days from the date of receipt of statement of account or statement related to it. As the case may be at your registered office, After that I/We shall have no right to dispute the transaction, funds and ever and agree that you shall not be liable for any incidental loss / damage caused due to retention of funds.
5. I/We confirm you that I can revoke the above mentioned authority at any time by giving notice in writing to you.

Thanking you

Your's Faithfully,

(Signature of Client)  .....

Client Name :