

# Canara Robeco Mutual Fund

Investment Manager : Canara Robeco Asset Management Company Ltd.  
 Construction House, 4th Floor, 5, Walchand Hirachand Marg, Ballard Estate, Mumbai - 400 001.  
 Tel. No. (022) 66585000 - 5010, Fax : 6658 5011/12/13 E-Mail : crmf@canararobeco.com  
 CIN : U65990MH1993PLC071003



## FATCA DETAILS AND DECLARATION For Non-Individual Investors (Mandatory)

Please refer Annexure for definitions

### PART A: APPLICANT DETAILS

Applicant Name:

PAN  Folio  Application No.

### PART B

Incorporation/Formation/Tax Residency in India  Yes  No (If no, please specify the Country(ies) of Incorporation / Formation / Tax residency below)

Provide all Country(ies) of Incorporation / Formation / Tax Residency (including US) and Tax Identification Number below

Sr. No.	Country/ies	Tax Identification Number
1.		
2.		
3.		

Are you a Specified US Person?  Yes (If yes, provide Tax Identification Number above)  No

### PART C

Are you a financial institution (including an FFI)?  Yes  No (Refer instructions) If yes, please provide the following information.

<p><b>Please tick any one of the below:</b></p> <p><input type="checkbox"/> Financial Institution incorporated in India</p> <p><input type="checkbox"/> Financial Institution incorporated in another country that has an intergovernmental agreement (IGA) with the US on FATCA</p> <p><input type="checkbox"/> FFI in a country without an IGA that has registered to obtain a GIIN</p> <p><input type="checkbox"/> others _____ [please complete]</p>	<p><b>GIIN:</b>                  (Global Intermediary Identification Number) If GIIN not available (tick any one)</p> <p><input type="checkbox"/> Applied for on _____ (please specify the date)</p> <p><input type="checkbox"/> Not required to apply/not obtained for the following reasons:</p> <p><input type="checkbox"/> We are a Non-participating FFI</p> <p><input type="checkbox"/> We are a Non-reporting India Financial Institution under Annexure II of the Indian IGA because we are _____ (please specify)</p> <p><input type="checkbox"/> We are a Certified deemed-compliant FFI under U.S. Treasury Regulations</p> <p><input type="checkbox"/> We are an Exempt beneficial owner under U.S. Treasury Regulations</p> <p><input type="checkbox"/> We have another reason: _____ (please specify)</p>
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### PART D

1	Are you a listed company (that is, a company whose shares are regularly traded on a recognized stock exchange)	<input type="checkbox"/> Yes <input type="checkbox"/> No If yes, specify the name of the stock exchange(s) where it is regularly traded 1. _____ 2. _____
2	Are you a 'Related Entity' / Subsidiary / Controlled by a listed company (Refer instruction b)	<input type="checkbox"/> Yes <input type="checkbox"/> No If Yes, specify the name of the listed company 1. _____ 2. _____ Specify the name of the stock exchange(s) where it is regularly traded 1. _____ 2. _____
3	Are you an Active NFFE (Refer instruction d c & d). (Note: Details of controlling persons will not be considered for FATCA purpose)	<input type="checkbox"/> Yes <input type="checkbox"/> No If yes, specify the nature of business _____
4	Are you a Passive NFFE. (Refer instructions) Yes No If yes, specify the nature of business	<input type="checkbox"/> Yes <input type="checkbox"/> No If yes, specify the nature of business _____ For all Controlling Persons who are citizens/tax residents/green card holders of a country other than India [regardless of whether they are also Indian Citizens / Tax Residents], provide their Name, Address, Taxpayer Identification Number and Percentage of Holding by filling UBO Form & enclose additionally
5	Are you any one of the following: Participating FFI Deemed Compliant FFI Exempt Beneficial Owner Non-Participating Financial Institution	

I/We acknowledge and confirm that the information provided above is/are true and correct to the best of my/our knowledge and belief and provided after consulting necessary tax professionals. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/We am/are aware that I/We may liable for it. I/We hereby authorize you to disclose, share, remit in any form, mode or manner, all / any of the information provided by me/ us, including all changes, updates to such information as and when provided by me/ us to Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees / associated parties / RTAs ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities / agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India and other investigation agencies without any obligation of advising me/us of the same. Further, I/We, authorize to share the given information to other SEBI Registered Intermediaries to facilitate single submission / updation & for other relevant purposes. I/We also undertake to keep you informed in writing about any changes / modification to the above information in future and also undertake to provide any other additional information / documentary proof as may be required at your end

Place: \_\_\_\_\_ Date : \_\_\_\_\_