

FATCA Declaration and Additional KYC Form for Individual Investors

(One time declaration to be provided by Existing investors)

1. EXISTING INVESTOR'S FOLIO NUMBER

2. FIRST APPLICANT DETAILS

NAME **PAN / PEKRN**

Name of Guardian if first applicant is minor / **Contact Person** for non individuals **PAN / PEKRN (Guardian)**

Guardian's Relationship With Minor
 Father Mother Court Appointed Guardian **Date of Birth of 1st Applicant** **STATUS:** NRI Resident Individual

OCCUPATION :** Professional Agriculturist Housewife Retired Government Service/Public Sector
 Business Forex Dealer Student Private Sector Service Others _____

COUNTRY OF BIRTH** **COUNTRY OF NATIONALITY/CITIZENSHIP****

COUNTRY OF TAX RESIDENCE** India U.S.A. Others _____ (please specify)

FOREIGN TAX ID NO** If you have more than one country of tax residence please specify the details of all the countries

GROSS ANNUAL INCOME DETAILS** Please tick (✓) Below 1 Lac 1-5 Lacs 5-10 Lacs 10-25 Lacs 25 Lacs-1 Crore >1 Crore

NET-WORTH** in ₹ _____ (Net worth should not be older than 1 year) as on (Date) (Mandatory for Non-Individuals)

Are you a Politically Exposed Person (PEP)** Yes No Are you related to a Politically Exposed Person (PEP) Yes No

**In case First applicant is minor then details for Guardian will be required.

3. SECOND APPLICANT DETAILS

NAME **PAN / PEKRN**

OCCUPATION : Professional Agriculturist Housewife Retired Government Service/Public Sector
 Business Forex Dealer Student Private Sector Service Others _____

COUNTRY OF BIRTH **COUNTRY OF NATIONALITY/CITIZENSHIP**

COUNTRY OF TAX RESIDENCE India U.S.A. Others _____ (please specify)

If you have more than one country of tax residence please specify the names of all the countries separated by commas

FOREIGN TAX ID NO **STATUS:** NRI Resident Individual

GROSS ANNUAL INCOME DETAILS : Please tick (✓) Below 1 Lac 1-5 Lacs 5-10 Lacs 10-25 Lacs 25 Lacs-1 Crore >1 Crore

NET-WORTH in ₹ _____ (Net worth should not be older than 1 year) as on (Date) (Mandatory for Non-Individuals)

Are you a Politically Exposed Person (PEP) Yes No Are you related to a Politically Exposed Person (PEP) Yes No

4. THIRD APPLICANT DETAILS

NAME **PAN / PEKRN**

OCCUPATION : Professional Agriculturist Housewife Retired Government Service/Public Sector
 Business Forex Dealer Student Private Sector Service Others _____

COUNTRY OF BIRTH **COUNTRY OF NATIONALITY/CITIZENSHIP**

COUNTRY OF TAX RESIDENCE India U.S.A. Others _____ (please specify)

If you have more than one country of tax residence please specify the names of all the countries separated by commas

FOREIGN TAX ID NO **STATUS:** NRI Resident Individual

GROSS ANNUAL INCOME DETAILS : Please tick (✓) Below 1 Lac 1-5 Lacs 5-10 Lacs 10-25 Lacs 25 Lacs-1 Crore >1 Crore

NET-WORTH in ₹ _____ (Net worth should not be older than 1 year) as on (Date) (Mandatory for Non-Individuals)

Are you a Politically Exposed Person (PEP) Yes No Are you related to a Politically Exposed Person (PEP) Yes No

DECLARATION

I/We acknowledge and confirm that the information provided above is/are true and correct to the best of my/our knowledge and belief. In the event any of the above information is/are found to be false/incorrect and/or the declaration is not provided, then the AMC/Trustee/Mutual Fund shall reserve the right to reject the application and/or reverse the allotment of units and the AMC/Mutual Fund/Trustee shall not be liable for the same. I/We hereby authorize sharing of the information furnished in this form with all SEBI Registered Intermediaries and they can rely on the same. In case the above information is not provided, it will be presumed that applicant is the ultimate beneficial owner, with no declaration to submit. I/We also undertake to keep you informed in writing about any changes/modification to the above information in future and also undertake to provide any other additional information as may be required at your end.

First / Sole Applicant / Guardian Second Applicant Third Applicant

INSTRUCTIONS

1. Foreign Account Tax Compliance Act ("FATCA"): In accordance with the relevant provisions of the Foreign Account Tax Compliance Act ("FATCA") as contained in the United States Hiring Incentives to Restore Employment ("HIRE") Act, 2010, there is a likelihood of withholding tax being levied on certain income/ receipt sourced from the subjects of United States of America ("US") with respect to the schemes, unless such schemes are FATCA compliant. In this regard, the respective governments of India and US have agreed on the principal terms of a proposed Inter-Governmental Agreement (IGA) and the same is likely to be executed in near future. In terms of this proposed IGA, Reliance Mutual Fund ("RMF") and/ or Reliance Capital Asset Management Limited ("RCAM"/ "AMC") are likely to be classified as a "Foreign Financial Institution" and in which case RMF and/ or RCAM would be required, from time to time, to (a) undertake the necessary due-diligence process; (b) identify US reportable accounts; (c) collect certain required information/ documentary evidence ("information") with respect to the residential status of the unit holders; and (d) directly or indirectly disclose/report/ submit such or other relevant information to the appropriate US and Indian authorities. Such information may include (without limitation) the unit holder's folio detail, identity of the unit holder, details of the beneficial owners and controlling persons etc. In this regard and in order to comply with the relevant provisions under FATCA, the unit holders would be required to fully cooperate & furnish the required information to the AMC, as and when deemed necessary by the latter in accordance with IGA and/ or relevant circulars or guidelines etc, which may be issued from time to time by SEBI/AMFI or any other relevant & appropriate authorities. The applications which do not provide the necessary information are liable to be rejected. The applicants/ unit holders/ prospective investors are advised to seek independent advice from their own financial & tax consultants with respect to the possible implications of FATCA on their investments in the scheme(s).
2. Details under FATCA/Foreign Tax Laws: Tax Regulations require us to collect information about each investor's tax residency. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with relevant tax authorities. If you have any questions about your tax residency, please contact your tax advisor. Should there be any change in information provided, please ensure you advise us promptly, i.e., within 30 days. If you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US Tax Identification Number. Foreign Account Tax compliance provisions (commonly known as FATCA) are contained in the US Hire Act 2010.
3. If you are classified as a passive NFFE for FATCA purpose, please include in the section relating to Ultimate beneficial Owner (UBO), details of any specified US person having controlling interest in the foreign country information field along with your US Tax Identification Number for FATCA purposes. Foreign Account Tax Compliance provisions (commonly known as FATCA) are contained in the US Hire Act 2010.
4. This application form has to be filled by the Individual investors (RI & NRI) only.
5. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.